

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2015/16 AUDIT PLAN AS AT 30/06/15

Audit Area	Budget Days	Actual Days	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
						High	Med	Low

Leader

'A stronger city'

External Funding & Grants	5	0.2	To start Q3					
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Enabling Services

'A well run Council'

'Maintain our property assets of the city'

Main Accounting 2014-15	20	2	To start Q4					
Income Management/Sundry Debt	25	5.5	To start Q4					
Procurement	20	0.2	To start Q2					
Creditors	40	15.2						
First half year			In progress					
Second half year			To start Q3					
Insurance	5	0	To start Q3					
People Management	20	0.1	In progress					
ICT – Shared service	10	2	Ongoing					
Business Continuity	13	0	To start Q3					
Risk Management	10	1.3	Ongoing					
Contract management - Corporate Property Assets	10	0	To start Q2					
Contract Management – Other services	10	1.8	To start Q2					
Counter Fraud/Anti-Fraud	10	1.2	In progress					
Corporate governance	10	1.9	Ongoing					
Information Governance	10	3.2	In progress					
Equalities & Diversity	10	11.3	Draft					
Partnerships	5	0.5	To start Q2					
Hospitality & gift disclosures	1	0	To start Q4					
External Audit	2	0.2	No report due					

Customer Access

'Help me with my financial problem'

'Make it easy for me to pay you'

'Provide suitable housing'

Housing Benefit Subsidy	40	16.3	To start Q3					
Council Tax	25	0	To start Q3					
NDR	10	0	To start Q3					
One View of Debt (OVD)	25	2.7	To start Q3					
Housing - Tenants	10	0.4	To start Q2					

Housing Revenue Account

'Provide suitable housing'

'Be a good landlord'

Housing - Property	10	0	To start Q4					
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Health & Place

'Keep place looking good'

'Keep me/my environment safe and healthy'

Health & Safety	10	0.4	To start Q4					
Statutory returns - waste	2	2	In progress					
Trade recycling	5	3	In progress					
Trade waste	5	7.4	In progress					
Recyclate processing	5	5	In progress					

Economy and Culture

'Provide great things for me to see and do'

'Help me run a successful business'

None	-							
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City Development

'Deliver good development'

None	-							
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Public Realm

'Keep place looking good'

Car Parks	5	0.2	To start Q3					
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Other

RIPA Administration	2	0.5						
Contingency (special/frauds) including unplanned work	30	12.4						
Follow-ups	10	4.9						
Audit planning and control	40	11.3						
Total	470	113.1						

Results of reports finalised during 2015/16 relating to 2014/15 – see Appendix B for summary of reports

Audit Area	Report Status	Direction of travel since last audit	Assurance Rating	Number of findings		
				H	M	L
Housing Benefit Subsidy 14/15	Final	↓	Significant Improvement Required	8	2	2
Income Management & Sundry Debt	Final	↓	Significant Improvement Required	4	4	7
Planning and Community Infrastructure Levy (CIL)	Final	No Previous report	Good	0	2	2
Main Accounting 2014/15 Part 2	Final	↔	Good	1	3	3
One View of Debt (OVOD)	Final	No Previous	Significant Improvement	8	2	7

				report	Required			
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